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## Understanding and Defining Drought

### The Concept of Drought

Drought is a normal, recurrent feature of climate, although many erroneously consider it a rare and random event. It occurs in virtually all climatic zones, although its characteristics vary significantly from one region to another. Drought is a temporary aberration and differs from aridity since the latter is restricted to low rainfall regions and is a permanent feature of climate.

Drought is an insidious hazard of nature. Although it has scores of definitions, it originates from a deficiency of precipitation over an extended period of time, usually a season or more. This deficiency results in a water shortage for some activity, group, or environmental sector. Drought should be considered relative to some long-term average condition of balance between precipitation and evapotranspiration (i.e., evaporation + transpiration) in a particular area, a condition often perceived as "normal." It is also related to the timing (i.e., principal season of occurrence, delays in the start of the rainy season, occurrence of rains in relation to principal crop growth stages) and the effectiveness of the rains (i.e., rainfall intensity, number of rainfall events). Other climatic factors such as high temperature, high wind, and low relative humidity are often associated with it in many regions of the world and can significantly aggravate its severity.

Drought should not be viewed as merely a physical phenomenon or natural event. Its impacts on society result from the interplay between a natural event (less precipitation than expected resulting from natural climatic variability) and the demand people place on water supply. Human beings often exacerbate the impact of drought. Recent droughts in both developing and developed countries and the resulting economic and environmental impacts and personal hardships have underscored the vulnerability of all societies to this "natural" hazard.

There are two main kinds of drought definitions: conceptual and operational.

### Conceptual Definitions of Drought

Conceptual definitions, formulated in general terms, help people understand the concept of drought. For example:

Drought is a protracted period of deficient precipitation resulting in extensive damage to crops, resulting in loss of yield.

Conceptual definitions may also be philosophically important in establishing drought policy. For example, Australian drought policy incorporates an understanding of normal climate variability into its definition of drought. The country provides financial assistance to farmers only under "exceptional

drought circumstances," when drought conditions are beyond those that could be considered as part of normal risk management. Declarations of exceptional drought are based on science-driven assessments. Previously, when drought was less well defined from a policy standpoint and less well understood by farmers, some farmers in the semiarid Australian climate claimed drought assistance every few years.

## **Operational Definitions of Drought**

Operational definitions help people identify the beginning, end, and degree of severity of a drought. (A "lite" description of operational definitions is also available.)

To determine the beginning of drought, operational definitions specify the degree of departure from the average of precipitation or some other climatic variable over some time period. This is usually done by comparing the current situation to the historical average, often based on a 30-year period of record. The threshold identified as the beginning of a drought (e.g., 75% of average precipitation over a specified time period) is usually established somewhat arbitrarily, rather than on the basis of its precise relationship to specific impacts.

An operational definition for agriculture could compare daily precipitation values to evapotranspiration rates to determine the rate of soil moisture depletion, and express these relationships in terms of drought effects on plant behavior (i.e., growth and yield) at various stages of crop development. A definition such as this one could be used in an operational assessment of drought severity and impacts by tracking meteorological variables, soil moisture, and crop conditions during the growing season, continually reevaluating the potential impact of these conditions on final yield. Operational definitions can also be used to analyze drought frequency, severity, and duration for a given historical period. Such definitions, however, require weather data on hourly, daily, monthly, or other time scales and, possibly, impact data (e.g., crop yield), depending on the nature of the definition being applied. Developing a climatology of drought for a region provides a greater understanding of its characteristics and the probability of recurrence at various levels of severity. Information of this type is extremely beneficial in the development of response and mitigation strategies and preparedness plans.

## **Disciplinary Perspectives on Drought: Meteorological, Hydrological, Agricultural and Socioeconomic**

### **Meteorological Drought**

Meteorological drought is defined usually on the basis of the degree of dryness (in comparison to some "normal" or average amount) and the duration of the dry period. Definitions of meteorological drought must be considered as region specific since the atmospheric conditions that result in deficiencies of precipitation are highly variable from region to region. For example, some definitions of meteorological drought identify periods of drought on the basis of the number of days with precipitation less than some specified threshold. This measure is only appropriate for regions characterized by a year-round precipitation regime such as a tropical rainforest, humid subtropical climate, or humid mid-latitude climate. Locations such as Manaus, Brazil; New Orleans, Louisiana (U.S.A.); and London, England, are examples. Other climatic regimes are characterized by a seasonal rainfall pattern, such as the central United States, northeast Brazil, West Africa, and northern Australia. Extended periods without rainfall are common in Omaha, Nebraska (U.S.A.), Fortaleza, Ceará (Brazil), and Darwin, Northwest Territory (Australia); a definition based on the number of days

with precipitation less than some specified threshold is unrealistic in these cases. Other definitions may relate actual precipitation departures to average amounts on monthly, seasonal, or annual time scales.

### **Agricultural Drought**

Agricultural drought links various characteristics of meteorological (or hydrological) drought to agricultural impacts, focusing on precipitation shortages, differences between actual and potential evapotranspiration, soil water deficits, reduced ground water or reservoir levels, and so forth. Plant water demand depends on prevailing weather conditions, biological characteristics of the specific plant, its stage of growth, and the physical and biological properties of the soil. A good definition of agricultural drought should be able to account for the variable susceptibility of crops during different stages of crop development, from emergence to maturity. Deficient topsoil moisture at planting may hinder germination, leading to low plant populations per hectare and a reduction of final yield. However, if topsoil moisture is sufficient for early growth requirements, deficiencies in subsoil moisture at this early stage may not affect final yield if subsoil moisture is replenished as the growing season progresses or if rainfall meets plant water needs.

### **Hydrological Drought**

Hydrological drought is associated with the effects of periods of precipitation (including snowfall) shortfalls on surface or subsurface water supply (i.e., stream flow, reservoir and lake levels, ground water). The frequency and severity of hydrological drought is often defined on a watershed or river basin scale. Although all droughts originate with a deficiency of precipitation, hydrologists are more concerned with how this deficiency plays out through the hydrologic system. Hydrological droughts are usually out of phase with or lag the occurrence of meteorological and agricultural droughts. It takes longer for precipitation deficiencies to show up in components of the hydrological system such as soil moisture, stream flow, and ground water and reservoir levels. As a result, impacts are out of phase with those in other economic sectors because different water use sectors depend on these sources for their water supply. For example, a precipitation deficiency may result in a rapid depletion of soil moisture that is almost immediately discernible to agriculturalists, but the impact of this deficiency on reservoir levels may not affect hydroelectric power production or recreational uses for many months. Also, water in hydrologic storage systems (e.g., reservoirs, rivers) is often used for multiple and competing purposes (e.g., flood control, irrigation, recreation, navigation, hydropower, wildlife habitat), further complicating the sequence and quantification of impacts. Competition for water in these storage systems escalates during drought and conflicts between water users increase significantly.

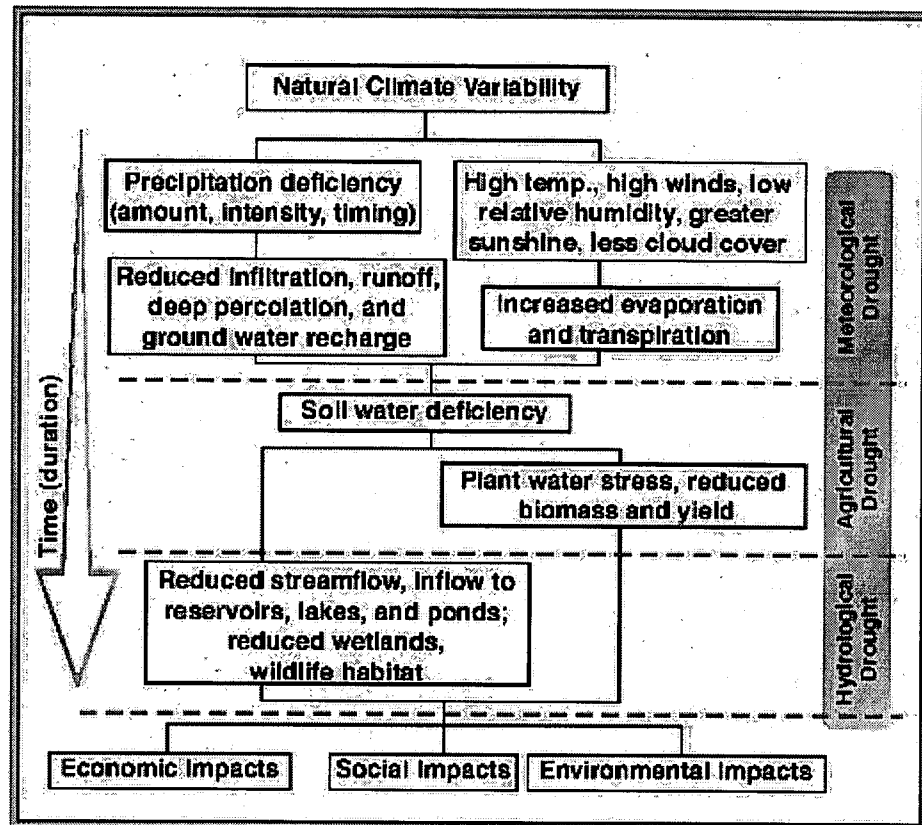
### **Hydrological Drought and Land Use**

Although climate is a primary contributor to hydrological drought, other factors such as changes in land use (e.g., deforestation), land degradation, and the construction of dams all affect the hydrological characteristics of the basin. Because regions are interconnected by hydrologic systems, the impact of meteorological drought may extend well beyond the borders of the precipitation deficient area. For example, meteorological drought may severely affect portions of the northern Rocky Mountains and northern Great Plains region of the United States. However, since the Missouri River and its tributaries drain this region to the south, there may be significant hydrologic impacts downstream. Similarly, changes in land use upstream may alter hydrologic characteristics such as infiltration and runoff rates, resulting in more variable stream flow and a higher incidence of

hydrologic drought downstream. Bangladesh, for example, has shown an increased frequency of water shortages in recent years because land use changes have occurred within the country and in neighboring countries. Land use change is one of the ways human actions alter the frequency of water shortage even when no change in the frequency of meteorological drought has been observed.

### Sequence of Drought Impacts

The sequence of impacts associated with meteorological, agricultural, and hydrological drought further emphasizes their differences. When drought begins, the agricultural sector is usually the first to be affected because of its heavy dependence on stored soil water. Soil water can be rapidly depleted during extended dry periods. If precipitation deficiencies continue, then people dependent on other sources of water will begin to feel the effects of the shortage. Those who rely on surface water (i.e., reservoirs and lakes) and subsurface water (i.e., ground water), for example, are usually the last to be affected. A short-term drought that persists for 3 to 6 months may have little impact on these sectors, depending on the characteristics of the hydrologic system and water use requirements.



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When precipitation returns to normal and meteorological drought conditions have abated, the sequence is repeated for the recovery of surface and subsurface water supplies. Soil water reserves are replenished first, followed by stream flow, reservoirs and lakes, and ground water. Drought impacts may diminish rapidly in the agricultural sector because of its reliance on soil water, but linger for months or even years in other sectors dependent on stored surface or subsurface supplies. Ground water users, often the last to be affected by drought during its onset, may be last to experience a return to normal water levels. The length of the recovery period is a function of the intensity of the drought, its duration, and the quantity of precipitation received as the episode terminates.

### Socioeconomic Drought

Socioeconomic definitions of drought associate the supply and demand of some economic good with elements of meteorological, hydrological, and agricultural drought. It differs from the aforementioned types of drought because its occurrence depends on the time and space processes of supply and demand to identify or classify droughts. The supply of many economic goods, such as water, forage, food grains, fish, and hydroelectric power, depends on weather. Because of the natural variability of

climate, water supply is ample in some years but unable to meet human and environmental needs in other years. Socioeconomic drought occurs when the demand for an economic good exceeds supply as a result of a weather-related shortfall in water supply. For example, in Uruguay in 1988-89, drought resulted in significantly reduced hydroelectric power production because power plants were dependent on stream flow rather than storage for power generation. Reducing hydroelectric power production required the government to convert to more expensive (imported) petroleum and stringent energy conservation measures to meet the nation's power needs.

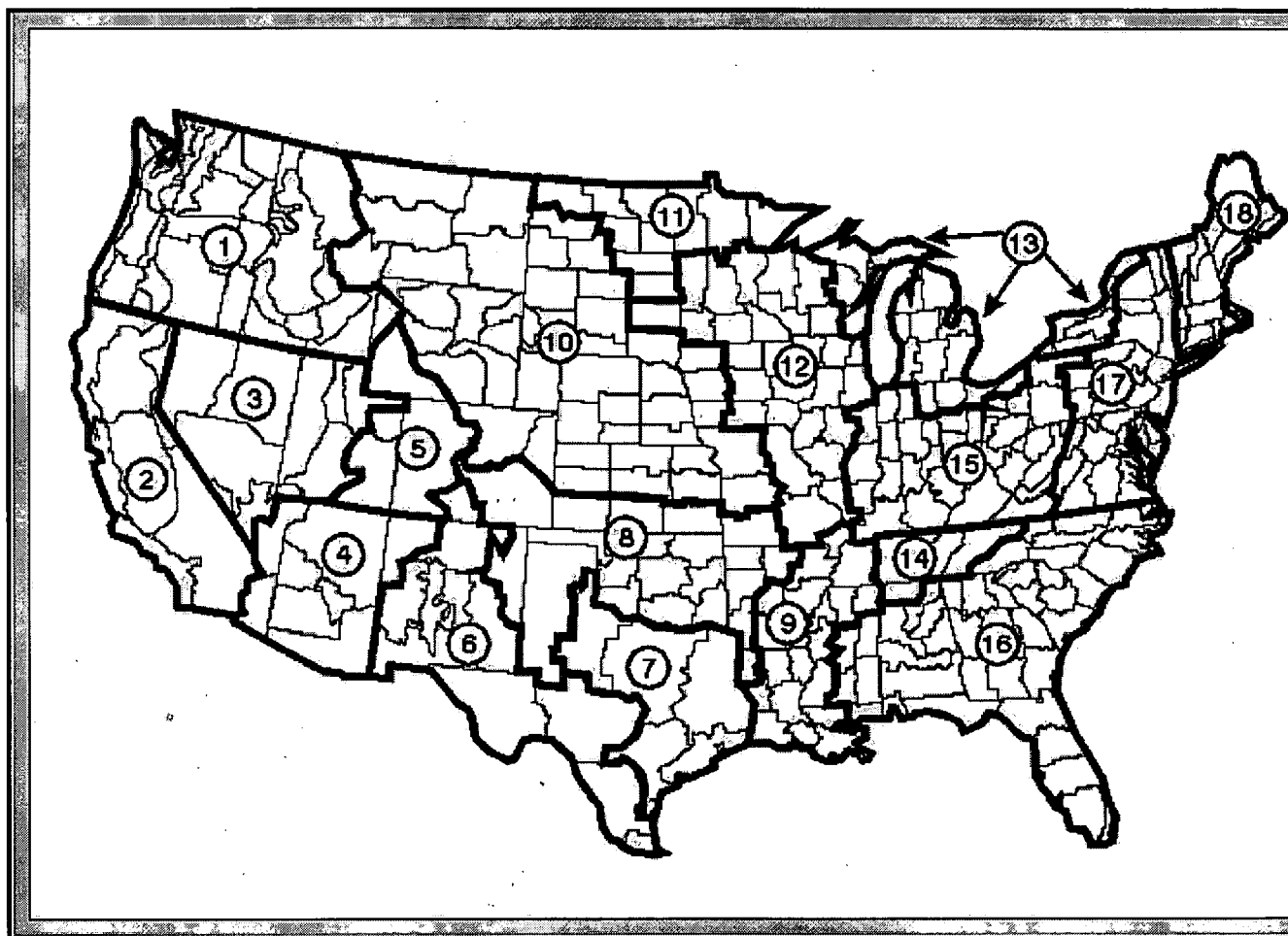
In most instances, the demand for economic goods is increasing as a result of increasing population and per capita consumption. Supply may also increase because of improved production efficiency, technology, or the construction of reservoirs that increase surface water storage capacity. If both supply and demand are increasing, the critical factor is the relative rate of change. Is demand increasing more rapidly than supply? If so, vulnerability and the incidence of drought may increase in the future as supply and demand trends converge.

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## Palmer Drought Severity Index by River Basin

*Click on a river basin to view its drought history, or choose from the list of basins below the clickable map.*

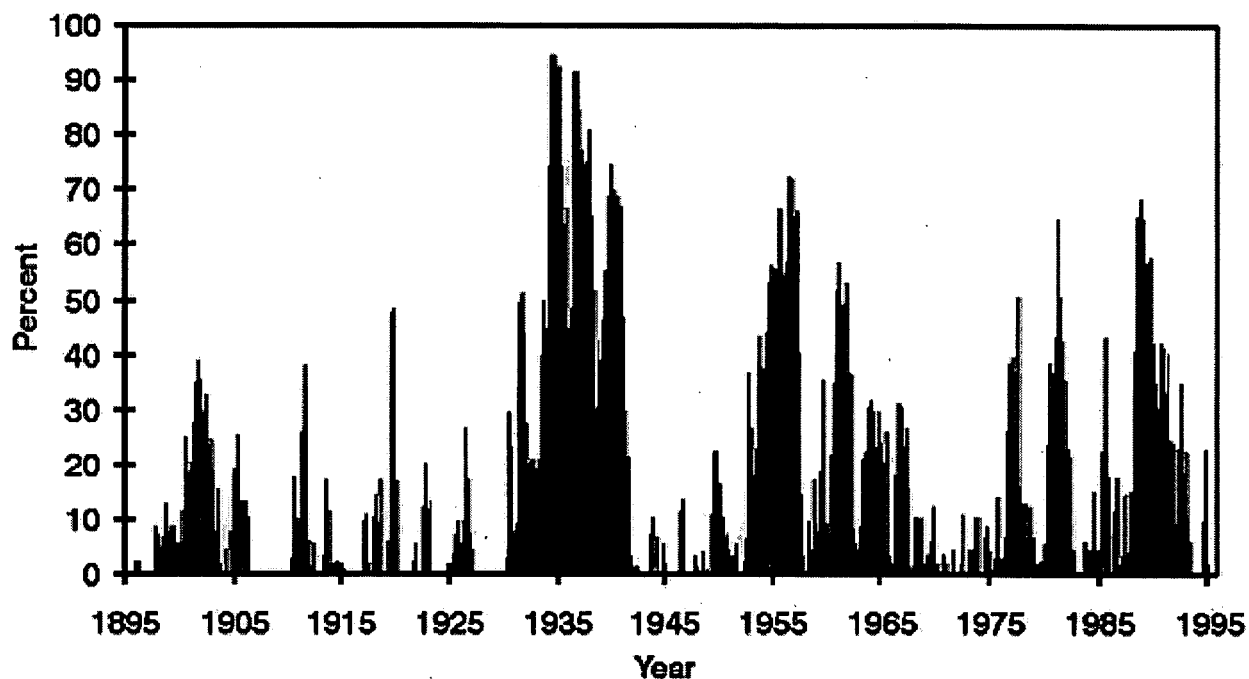


- [1\) Pacific Northwest Basin](#)
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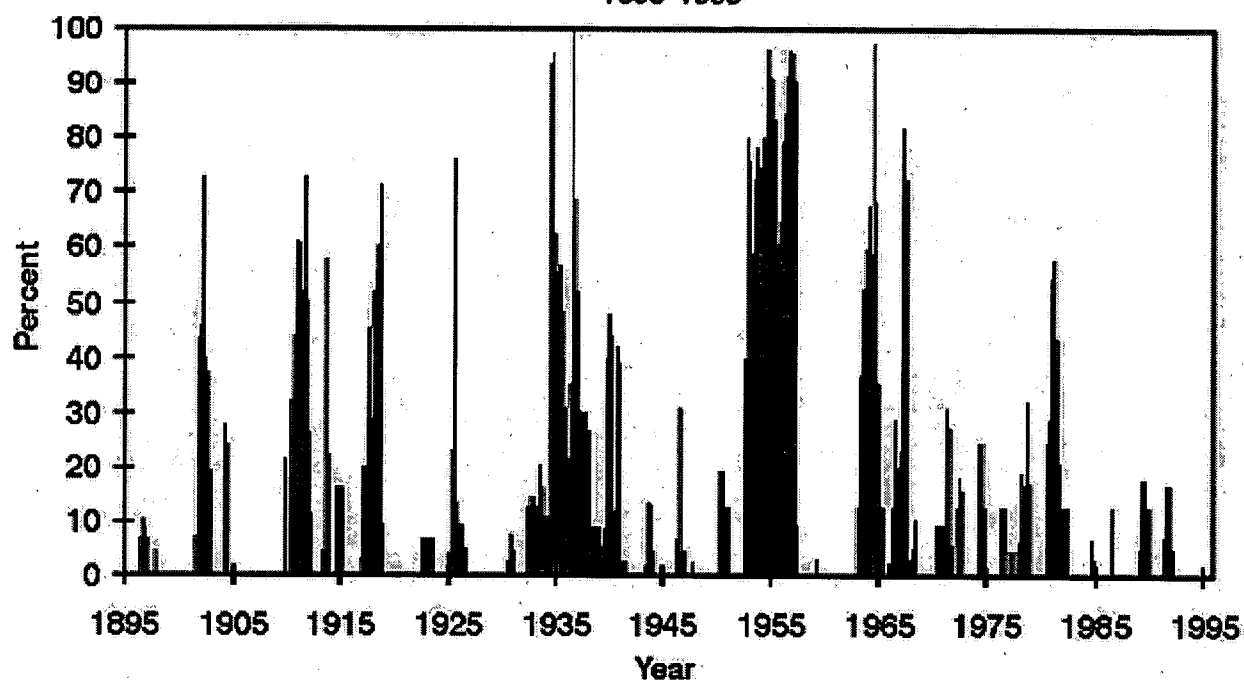
**Percent Area of the Missouri Basin  
Experiencing Severe to Extreme Drought  
1895-1995**



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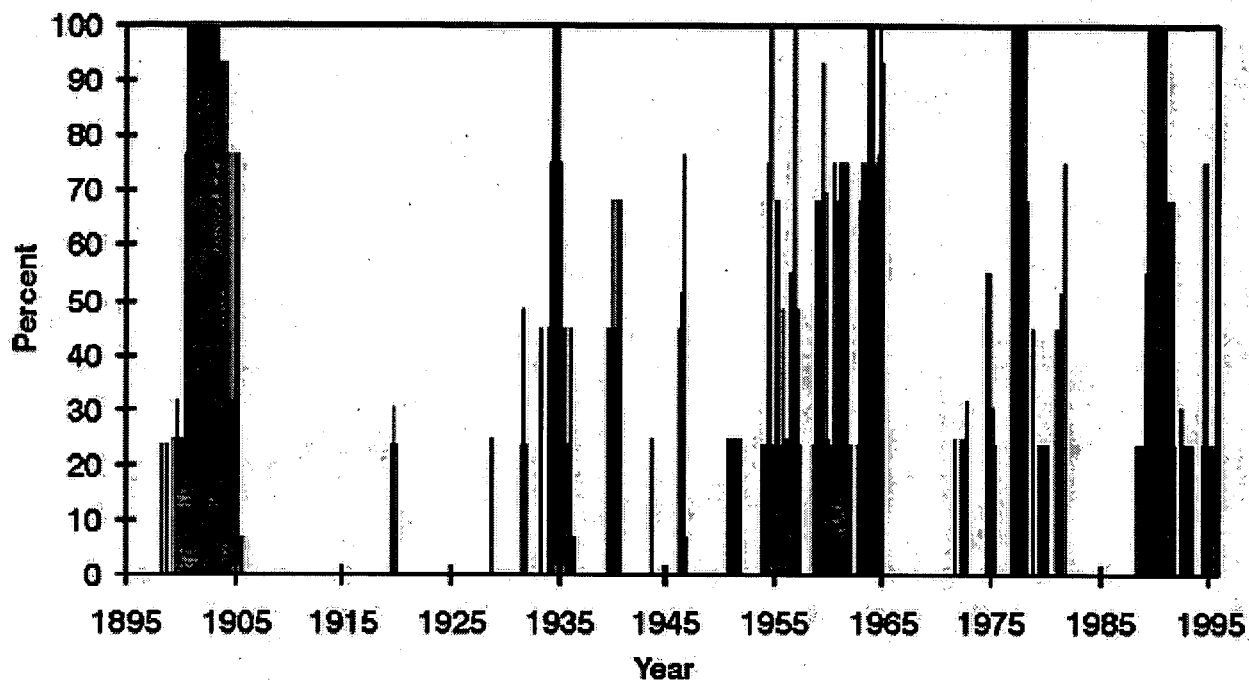


**Percent Area of the Arkansas-White-Red Basin  
Experiencing Severe to Extreme Drought  
1895-1995**



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**Percent Area of the Upper Colorado Basin  
Experiencing Severe to Extreme Drought  
1895-1995**



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